

ATTORNEY DOCKET NO: CXU-406

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Invention of: Rao, et al.)
 10/829,660)
 April 22, 2004)
 Application No: 6822)
 Title: Synthesis Of Branched Carbon Nanotubes)
 Group Art Unit: 1742
 Examiner: Unknown
 Our Account No: 04-1403
 Customer No: 22827

Director for Patents
 and Trademark Office
 Box 1450
 VA 22313-1450

This is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections
 1.98.

Attached hereto is:

- ☐ A list of materials for consideration per Rule 98(a)(1): 1 page(s)
- ☐ A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98 and/or as indicated on the attached list(s):
1 item(s)

For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: _____

☐ Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

Information Disclosure Statement is being filed [CHECK ONE]:

- ☐ WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(c) certificate is required.

AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:

i. ☐ Certification per Rule 97(e); OR

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That each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR

That no item of information contained in this Information Disclosure Statement was cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.



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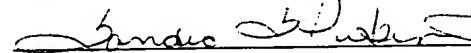
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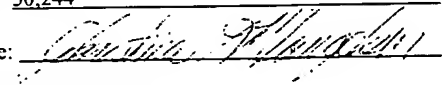
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Reg. No: 50,244

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Date: September 2, 2004



(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: CXU-406	Serial Number: 10/829,660
	Applicant: Rao, et al.	
	Filing Date: April 22, 2004 Confirmation No: 6822	Group Art Unit: 1742

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:
USPN _____, filed _____, or
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Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

U.S. PATENT DOCUMENTS										
EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER						ISSUE DATE	COPY NOTE	

U.S. PATENT APPLICATION PUBLICATIONS										
EXAMINER INITIALS	APPLICANT'S NAME	PUBLICATION NUMBER						PUBLICATION DATE	COPY NOTE	
	Li, et al.	0	0	0	4	0	5	8	01/02/2003	5

FOREIGN PATENT DOCUMENTS										
EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION			COPY NOTE			
				YES	NO	N/A				

*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	COPY NOTE
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Examiner:	initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.	